



NINTH
WAVE

NINTH WAVE TAX SERVICES DATASHEET

1 OVERVIEW

The Ninth Wave platform allows banks to securely provide Fintechs, Aggregators, and Partners with access to consumer permissioned, financial account records. The Ninth Wave Tax Services Platform provides the provisioning and distribution of tax data to consumers, tax preparers, and Online Tax software.

Employers, Banks, Wealth Managers, and Brokerage houses use the Tax Services Platform to distribute the data contained in various tax forms directly to Online Tax software products such as Intuit TurboTax, H&R Block TaxCut, and TaxAct.

H&R Block uses the Ninth Wave platform to aggregate tax data from both individuals and financial institutions that then feed into their core tax preparation software. Accounting firms and credit rating providers use the platform for data acquisition and aggregation.

Companies using the software to electronically distribute W2 information include mid-size payroll providers, major companies, and large government organizations—including the government agency distributing the largest number of W2s, ranging in the millions per year.

Ninth Wave also provides the Platform as a hosted service available to its clients. Companies can either provide the tax information data or access to the core systems for the hosted service.

The Ninth Wave Tax Services Platform:

- Supports all US tax forms.
- Is upgraded annually to support new forms and changes to tax forms.
- Supports access to tax data for tax packages and calculators.
- Supports Best Practices and Industry Standards such as [Open Financial Exchange](#) and the [FS-ISAC Data Durability API](#).
- Leverages best-in-class, advanced security functions throughout.
- Includes platform deployment options for on premise and private cloud, Cloud, and Hybrid.
- Includes the Ninth Wave Tax Warehouse data model which encompasses multiple financial data domains and tables for all tax forms. The Tax Warehouse is fully extensible with the use of Financial Institution-specific attributes and can be used to house all tax data required for US based Tax Forms.

2 AVAILABLE FUNCTIONS

Tax Forms Supported

- o W2

- 1099Div
- 1099int
- 1099B
- 1098
- 1098E
- 1098T
- 1099K
- 1099Misc
- 1099R
- 1095A
- 1095B
- 1095C

3 FINTECH AND AGGREGATOR SUPPORT

Sample list of Authorized Applications:

- ezFile
- H&R Block TaxCut
- H&R Block internal office usage
- Intuit ProSeries
- Intuit proconnect
- Intuit Lacerte Tax
- Intuit TurboTax
- TaxAct
- TaxHawk
- TaxSimple
- TaxSlayer

If you use a tax application not listed here, [let us know!](#)

4 DEPLOYMENT OPTIONS

The Ninth Wave Platform offers three flexible options for deployment of our solutions. These options allow Ninth Wave customers to deploy our solutions in the manner that both best suits their business needs and meets all of their security requirements.

On-Premise: The Ninth Wave platform can be deployed in a client's data center or within their private cloud. All platform software is deployed behind client firewalls fully integrated into client security and management systems and back-end data retrieval processes. Ninth Wave will not have access to the system unless granted access by the system owner.

CLOUD: The Ninth Wave Platform can be completely deployed in its secure cloud environment. As part of full-service cloud hosting, Ninth Wave will provide user-authentication services and persist all of the account-holder data in a high-performing secure environment, as well as the selected Ninth Wave Platform that meets the client's needs.

HYBRID: For those that would like to take advantage of the performance and time-to-market of Ninth Wave Platform-hosted services but want to maintain control of persisted data, the Ninth Wave Platform can be hosted in its secure cloud environment with client authentication and data retrieval being served to the client's on-premise systems. The cloud-based Ninth Wave platform processes requests and secure call-backs, deploying them from its cloud environment to the client's data center for necessary data retrieval.

5 TAX WAREHOUSE DATA MODEL

The Ninth Wave Tax Services Platform includes the Tax Warehouse data model, which comes complete with data structures and interfaces for loading and retrieving data to support all required forms and functions. All current IRS forms are included in the model and the model is updated annually to reflect all the latest IRS changes to the forms.

With the Tax Warehouse, the Ninth Wave platform is a rapid-deployment, black-box solution for the mandatory provisioning of tax forms.

In the Hosted approach, the Data resides in the cloud, securely stored and encrypted in the Tax Warehouse. Using a secure/encrypted connection, Financial Institutions and employers upload their data the same way they would via the IRS FIRE system.

6 FILE TYPES SUPPORTED

The Ninth Wave Tax Services Platform accepts the following file types as input to the Tax Warehouse and direct services:

- Flat Files
- IRS 1220 Files
- Web Services
- Rest Services
- Wall Street Concepts Files
- Convey Files
- Direct SQL Database Access

7 CONTACT INFO

E - M A I L

support@ninth-wave.com

sales@ninth-wave.com

T E L E P H O N E

212.344.2000

ADDRESS

Ninth Wave

115 Broadway Suite 1705

New York, NY 10004